

11-28-00

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11/20/00
Jc813 U.S. PTO

PATENT
Docket No.

Box Patent Application
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Jc886 U.S. PTO
09/716713
11/20/00

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of
Inventor(s): Richard S. Belliveau

For (title): LIGHTING DEVICE WITH BEAM ALTERING MECHANISM
INCORPORATING A PLURALITY OF LIGHT SOURCES

1. Type of Application

This new application is for a(n):

X	Original
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Check one of the following if applicable:

	Divisional
	Continuation
	Continuation-in-part (CIP)

2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

29 Pages of specification

8 Pages of claims

1 Pages of Abstract

12 Sheets of drawing

☒ formal
☐ informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 11/20/00 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL566488812US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Phyllis Schuster.

(Type or print name of person mailing paper)

Phyllis Schuster

(Signature of person mailing paper)

3. Additional papers enclosed

☒ Information Disclosure Statement (37 CFR 1.98)
☒ Form PTO-1449

4. Declaration or oath

☒ Enclosed
executed by (check all applicable boxes)
☒ inventor(s).
☐ Not Enclosed.

5. Inventorship Statement

The inventorship for all the claims in this application are:

☒ The same

6. Language

☒ English
☐ non-English
☐ the attached translation is a verified translation. 37 CFR 1.52(d).

7. Assignment

☐ An assignment of the invention
☐ is not attached. A separate ☐ FORM PTO 1595 is also attached.
☐ will follow.

8. Certified Copy

Certified Copy(ies) of application(s)

(country)	(appln. no.)	(filed)

from which priority is claimed

☐ is attached.
☐ will follow.

9. Fee Calculation (37 CFR 1.16)

☒ Regular application

CLAIMS AS FILED

Number filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims (37 CFR 1.16(c)) 31-20=4	11 X	\$18.00	\$ 198.00
Independent Claims (37 CFR 1.16(b)) 2-3=0	X	\$80.00	\$ 0
Multiple dependent claim(s), if any (37 CFR 1.16(d)) 0	0 X	\$250.00	\$0.00

Filing Fee Calculation \$908.00

10. Small Entity Statement(s)

- ☒ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.
- ☒ Filing Fee Calculation (50% of above) \$454.00

11. Fee Payment Being Made At This Time

☐ Not Enclosed

☐ No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

☒ Enclosed

☒ basic filing fee \$454.00

☐ recording assignment \$ 0.00

Total fees enclosed \$454.00

12. Method of Payment of Fees

- ☒ Check in the amount of \$454.00
- ☒ Charge Account No. 20-0437 for any deficiency.

Reg. No. 35,708  SIGNATURE OF ATTORNEY

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Title: LIGHTING DEVICE WITH BEAM ALTERING MECHANISM
INCORPORATING A PLURALITY OF LIGHT SOURCES

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Pages of specification: 29
Pages of claims: 8
Page of Abstract: 1
Sheets of drawing: 12

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